



# PLETHORA

PRIVATE EQUITY

Plethora Private Equity is an initiative of Plethora Private Equity Management

## Subscription Form

ISIN: NL0012727382

Bloomberg: PLEPREQ NA

**Attention! This investment falls outside AFM supervision.  
No license and no prospectus required for this activity.**



## SUBSCRIPTION PROCEDURE



Submit your  
subscription



Your subscription  
is being processed



Transfer your  
initial deposit



You are participating  
in the Fund



The information you provide in this form is required to process your subscription in Plethora Private Equity and will be shared with AssetCare, the Administrator of the Fund. AssetCare will process your subscription and will contact you in case additional information is necessary.

Please do not hesitate to contact the Administrator on [+31 \(0\)20 244 00 92](tel:+31202440092) or [info@assetcare.nl](mailto:info@assetcare.nl) should you need help completing this form and/or if you have any questions about it.

## INFORMATION ABOUT PLETHORA PRIVATE EQUITY

The documentation below gives important information about the characteristics of Plethora Private Equity, such as the investment objectives and the risks. It is recommended that you read the documents carefully before you make an investment in the Fund.

### Plethora Private Equity

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→ Information Memorandum (IM)

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→ Key Information Document (EID)

## DETAILS OF THE UNIT HOLDER

Please enter the information in the table below.

	First Unit Holder		Second Unit Holder <sup>1</sup>	
Company name <sup>2</sup>				
Full name				
Email address				
Phone number				
Address <sup>3</sup>				
Postal code <sup>3</sup>				
City <sup>3</sup>				
Country of residence <sup>3</sup>				
Nationality				
Date of birth				
Which country/countries are you liable to pay taxes in? <sup>3</sup>				
Employment	Employed	Self-employed	Employed	Self-employed
	Retired	Student	Retired	Student
	None		None	
Profession <sup>4</sup>				
Name employer <sup>4</sup>				
City of employer <sup>4</sup>				
Sector <sup>4</sup>				

<sup>1</sup>Only required in case of joint subscription.

<sup>2</sup>Only required in case of corporate subscription.

<sup>3</sup>Fill in the details of the company in case of a corporate subscription.

<sup>4</sup>Fill in the details of your last profession if you currently do not have a profession or leave it blank if you have no work experience yet.

## SUBSCRIPTION



The minimum subscription amount is € 100.000. This form should be received at least five Business Days prior to the desired Transaction Date.

Note: The name associated with the bank account from which the subscription amount is transferred must be the same as the name(s) of the Unit Holder.

Please indicate the subscription amount and from which bank account the deposit will be made.

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IBAN

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Account holder name

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Subscription amount

## DEPOSIT INSTRUCTIONS



In accordance with the Information Memorandum, Units are issued based on the Net Asset Value per Unit on the first possible Transaction Date. The Transaction Date is generally the first Business Day of each calendar quarter. Once the subscription is processed, you will receive a confirmation with an overview of your Units.

It will be confirmed by email when the subscription amount can be transferred. This means that the deposit is not yet required. For reasons of convenience, the following table contains however already the bank details of the Fund.

IBAN	NL66 CITI 7003 8350 73
Account name	Stichting Legal Owner Plethora Private Equity
Description	<i>Unit Holder name(s)</i>
Bank	Alpha FX Group plc (via intermediary bank Citibank Europe PLC)
Bank Identifier Code (BIC)	CITINL2XXXX
Address	Eurocenter I, 7 <sup>th</sup> floor Barbara Strozziilaan 310 1083 HN Amsterdam The Netherlands

## SOURCE OF FUNDS



The Money Laundering and Terrorist Financing (Prevention) Act (Wwft) requires fund managers to, amongst others, identify their Unit Holders, report unusual transactions and have insight in the source of funds. There is also an obligation to keep Unit Holder files up to date.

In the context of these regulations, we kindly ask you to complete the information below

Do you expect to make multiple deposits?	Yes	No
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If so, can you explain this further (frequency and expected amounts)?

Do you expect to make interim withdrawals?	Yes	No
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If so, can you explain this further (frequency and expected amounts)?

Select the sources below that explain the origin of the funds and include the percentage of each source. The total of the percentages should be 100%.

Work	%
Property	%
Inheritance/gift	%
Retirement benefits	%
Other:	%

Can you substantiate the source of funds that are used for the subscription(s)?

In order to process your subscription(s) orderly and promptly, we kindly ask you to provide a detailed description and to share documentation as proof (such as salary slips, annual accounts, bank statements, etc).



Please see [this webpage](#) for more information about the different sources of funds.

## GENERAL

The questions below are meant to give us further insight into your subscription(s).

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What is your connection with the Fund (Manager)?

Do you qualify as a PEP (Politically Exposed Person)?	Yes	No
Have you ever been convicted for a (financial) crime?	Yes	No
Are you a U.S. Person?	Yes	No



Please refer to [this webpage](#) for more information on (Pseudo) UBO('s), PEP's and U.S. Persons.

## (PSEUDO) ULTIMATE BENEFICIAL OWNER(S) (UBO(S)) OF THE COMPANY<sup>5</sup>

<sup>5</sup>The information in the table below is only required in case of a corporate subscription.

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Does the entity have one or more UBOs with an interest of 25% or more?

Yes → Please enter the details of the UBO('s) in the table below.

Name	Direct interest (%)	Indirect interest (%)
1		
2		
3		
4		

No → Please indicate who is/are considered to be the Pseudo UBO(s)?

1	2	3	4
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## REQUIRED DOCUMENTS

In order to complete the subscription, the documents below are required:

Individual or joint subscription(s)	Corporate subscription(s)
A fully completed subscription form	A fully completed subscription form
A proof of identity (passport or ID) <sup>6</sup>	A proof of identity (passport or ID) of all directors, signatories and (Pseudo) UBO(s)
A copy of a recent bank statement to verify the IBAN, account name and address <sup>6</sup>	A copy of a recent bank statement of the entity to verify the IBAN, account name, and address
Proof of source of funds (such as salary slips, bank statements, etc.)	A copy of a recent bank statement of (Pseudo) UBO(s) to verify the address
<sup>6</sup> Required for both Unit Holders in case of a joint subscription.	Proof of source of funds (such as annual accounts, bank statements, etc.)
	Recent extract from the Chamber of Commerce <sup>7</sup>
	Ownership chart of the shareholders
	Articles of association
	Shareholders register (up to date)
	<sup>7</sup> Required for both the main entity as any underlying corporate shareholders (interest of >25%).



You will be contacted by email should additional information or documentation be required to process your subscription.

## SIGNATURE PAGE

By signing the Subscription form you declare that you:

- have provided information that, to the best of your knowledge, is accurate at the time of subscription(s) and that you will notify the Fund of any changes to this information by completing the Amendment Form;
- have read the IM, the EID and the Terms & Conditions;
- understand and accept the information and conditions outlined in the IM, the EID and the Terms & Conditions;
- expressly agree that any future amendments to the documents mentioned in the previous line will be made available to you digitally;
- understand and accept the risks associated with investing in the Fund;
- expressly agree to the Fund Manager and the Administrator providing their respective services in accordance with legal and statutory obligations,
- are aware that the Fund is not subject to the supervision of the AFM according to Article 2:66a of the Financial Supervision Act.

This form and the required documents will be used to process your subscription and perform subsequent services. Please make sure that the form is fully filled out and that the relevant supplementary documents have been attached.

Date	Date <sup>9</sup>
Place	Place <sup>9</sup>
Name first Unit Holder	Name second Unit Holder <sup>9</sup>
Signature <sup>8</sup>	Signature <sup>8,9</sup>

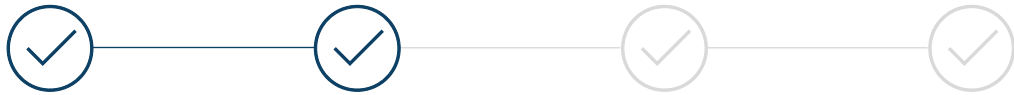
<sup>8</sup>Are you unable to provide a digital signature? Please print the form and place a handwritten signature.

<sup>9</sup>Only required in case of a joint subscription.



## SUBMIT YOUR SUBSCRIPTION

Please send a signed form to (I) [info@assetcare.nl](mailto:info@assetcare.nl) with [info@plethora-pe.com](mailto:info@plethora-pe.com) in CC or (II) by regular mail to AssetCare. You will be notified by email should we need additional information and/or when your subscription is processed. The expected processing time is five business days.



Submit your  
Subscription

Subscription  
in progress

Transfer your  
initial deposit

You are participating  
in the Fund

Please contact AssetCare if you need any help completing the Subscription form and/or have any questions about this form:



+31 (0) 20 244 00 92

Available each working day  
from 08:00 to 18:00.



[info@assetcare.nl](mailto:info@assetcare.nl)

Our aim is to answer your email  
within 24 hours



AssetCare

Eurocenter I, 7<sup>th</sup> floor  
Barbara Strozziilaan 310  
1083 HN Amsterdam

Fund Manager and Administrator will protect the privacy of the Unit holders. All privacy-sensitive information provided to us is subject to the [privacy statement](#). Fund Manager and Administrator make reasonable efforts to keep this information confidential and to use it only for the purposes described in the [privacy statement](#). Fund Manager and Administrator work in accordance with the Dutch privacy legislation (AVG). In order to process a subscription, Fund Manager and Administrator need information such as the name, bank account and contact details of the investor. We may also use these details to keep you, as Unit Holder, informed of the developments in the Fund or to send you other information which we feel may be of interest to you. This is optional and you are free to opt out (or opt in) to this service at any time.

Fund Manager and Administrator will not disclose Unit Holders' information to third parties without permission, other than to the parties listed in the Information Memorandum or unless otherwise required by law.

Fund Manager and Administrator reserve the right to modify the [privacy statement](#) in the event there are changes in its policy or business operations or in case law or case law warrant it.

Please contact us at [info@assetcare.nl](mailto:info@assetcare.nl). In case you have any questions or comments about the [privacy statement](#).